

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:		(1	1) International Publication Number:	WO 97/18265
C08L 69/00, 67/02, 33/12 // A61L 29/00	A1	(4	3) International Publication Date:	22 May 1997 (22.05.97)
(21) International Application Number: PCT/US (22) International Filing Date: 13 November 1996 (			(81) Designated States: AU, CA, JP, BE, CH, DE, DK, ES, FI, FR, NL, PT, SE).	MX, European patent (AT, GB, GR, IE, IT, LU, MC.
(30) Priority Data:	95)	US US US	Published  With international search repor  Before the expiration of the ti  claims and to be republished in  amendments.	ime limit for amenaing the
(71) Applicant: COBE LABORATORIES, INC. [US/I Oak Street, Lakewood, CO 80215 (US).	US]; 11	185		
(72) Inventors: FORRESTAL, Lloyd; 1785 Viewpoint R. der, CO 80303 (US), VOORHEES, Marc; 10899 Place, Arvada, CO 80005 (US).	oad, Bo 9 W. 82	oul- 2nd		
(74) Agent: O'CONNOR, Edna, M., 14401 W. 65th Wa CO 80004 (US).	y, Arva	da,		

(54) Title: METHOD FOR CONTROLLING SURFACE CONCENTRATION OF A POLYMER ADDITIVE

#### (57) Abstract

The surface free energy of a polymer blend where a base polymer contains an additive having a different surface free energy than that of the base polymer can be controlled over a wide range of additive surface concentrations by appropriate choice of the mold surface free energy during fabrication. A high surface concentration of additive relative to a low bulk concentration can be achieved, leading to improved biocompatibility without loss of optical clarity.

# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

		GB	United Kingdom	MW	Malawi
AM	Armenia	GE	Georgia	MX	Mexico
AT	Austria	GN	Guinea	NE	Niger
ΑU	Australia	GR	Greece	NL	Netherlands
BB	Barbados		-	NO	Norway
BE	Belgium	HU	Hungary	NZ	New Zealand
BF	Burkina Faso	IE.	Ireland	PL	Poland
BG	Bulgaria	IT	Italy	PT	Portugal
BJ	Benin	JP	Japan	RO	Romania
BR	Brazil	KE	Kenya	RU	Russian Federation
BY	Belarus	KG	Kyrgystan		Sudan
CA	Canada	KP	Democratic People's Republic	SD	+
CF	Central African Republic		of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	SG	Singapore
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
	Cameroon	LK	Sri Lanka	SN	Senegal
CM	China	LR	Liberia	SZ	Swaziland
CN	Czechoslovakia	LT	Lithuania	TD	Chad
CS		LU	Luxembourg	TG	Togo
CZ	Czech Republic	LV	Latvia	TJ	Tajikistan
DE	Germany	MC	Monaco	TT	Trinidad and Tobago
DK	Denmark	MD	Republic of Moldova	UA	Ukraine
EE	Estonia	MG	Madagascar	UG	Uganda
ES	Spain		Mali	US	United States of America
Fi	Finland	ML	Mongolia	UZ	Uzbekistan
r.D	C	MN	MONYUNA	-	-

5

10

15

20

25

# METHOD FOR CONTROLLING SURFACE CONCENTRATION OF A POLYMER ADDITIVE

### Field of the Invention

The invention relates to polymeric materials and methods for improving their biocompatibility. More generally, the invention relates to controlling surface concentration of an additive within a polymeric matrix.

## Background of the Invention

A basic problem in the construction of medical devices having components that must contact blood and other physiological fluids is that materials with good mechanical properties have rather structural and biocompatibility, while highly biocompatible materials have poor structural properties. Biocompatibility is itself a multi-faceted problem which has different aspects depending on the type of device, what tissues or fluids it contacts, and the length of contact time. In devices designed for hemodialysis or blood oxygenation, the materials are in contact with blood flowing through tubing, into containers, The blood returns to the patient's and over membranes. The primary elements of biocompatibility body. prevent initiating processes which can to therefore subsequently injure the patient, such as activation of clotting mechanisms, activation of the complement system, and initiation of inflammatory reactions. Materials must not be soluble in blood or other body fluids to avoid being carried permanently into the patient's body.

Although certain types of polymers, such as silicones

WO 97/18265 PCT/ЛIS96/18272

and siloxanes, are known to possess many attributes of biocompatibility, there are no reliable physical correlates which enable one to predict biocompatibility with any Generally, hydrophobic surfaces are degree of certainty. more biocompatible than hydrophilic surfaces. Zisman's critical surface tension [Zisman, W.A. (1964) Adv. Chem. Ser. 43] has been used as a parameter to help assess Materials with an optimum potential biocompatibility. critical surface tension are frequently biocompatible, yet For example, polyethylene there are notable exceptions. and polypropylene have critical surface tensions well within the optimum range, but they are not biocompatible. Without important. factors are also factors, these of the nature of understanding biocompatibility remains unpredictable.

10

15

20

25

30

35

Because of the attractive structural properties of polyolefins and polyurethanes, various blending and copolymerization techniques have been developed to impart greater biocompatibility. U.S. Patent 4,872,867 discloses modifying a polyurethane with a water soluble polymer and crosslinking them in situ with a silane type coupling agent intertwined polysiloxane cross-linked and a to form U.S. 4,636,552 discloses a polydimethyl siloxane network. with polylactone side chains which are said to be useful for imparting biocompatibility when combined with a base polymer, or used to replace plasticizer. U.S. Patent 4,929,510 discloses a diblock copolymer having a more hydrophobic block and a less hydrophobic block. A solution of the diblock copolymer in a solvent which swells the matrix polymer is used to introduce the diblock into an Thereafter, the article is article of matrix polymer. the force orientation of water, to to transferred the more that copolymer such incorporated diblock hydrophobic block is embedded in the matrix and the less WO:97/18265 PCT/US96/18272

hydrophobic block is exposed on the surface of the article. included copolymers diblock of (ethyleneoxide-propylene oxide), N-vinyl-pyrrolidone-vinyl acetate and N-vinyl-pyrrolidone-styrene. **Patents** U.S. segmented disclose 4,675,361 and 4,663,413 polysiloxane-polycaprolactone particular in copolymers, linear block copolymers. The latter were incorporated into base polymer materials to modify the surface properties Although initially blended in bulk into the base polymer, the copolymer migrates to the surface to form an exceptionally thin, possibly a monolayer film which imparts specifically, surface characteristic, desired biocompatibility.

5

10

Patent 4,963,595 discloses block copolymers U.S. 15 having polycaprolactone blocks and polysiloxane useful as additives to modify a base polymer, such as nylon, and to modify the surface properties thereof. Triblock copolymers having a polysiloxane block flanked by polycaprolactone blocks are commercially available, 20 example from Thoratec Laboratories, Berkeley, CA. abbreviation LSL is used herein to designate triblock copolymers of polylactone-polydimethylsiloxane-polylactone type, generally, and the abbreviation PDMS is used to designate the polydimethylsiloxane block, generally. 25 Thoratec Laboratories provides a series of such polymers designated "SMA" in which the siloxane is dimethyl siloxane The nominal molecular and the lactone is caprolactone. average) of the polysiloxane weights (number suitable for use herein range from about 1000 to about 30 molecular weights  $\mathsf{of}$ the nominal while caprolactone blocks range from about 1000 to about 10,000. A LSL triblock copolymer having polycaprolactone blocks of 1000 and polysiloxane blocks of 1000 (SMA-411) has been having has copolymer a usable, as bе shown to 35

WO 97/18265

5

10

15

20

25

30

polycaprolactone blocks of 10,000 and polysiloxane blocks of 5000 (SMA-4-10-5).

surface disclosed that 3,686,355 Patent properties of wettability and surface friction could be reduced by addition of block copolymer additives It was noted that bisphenol-A and polydimethylsiloxane. effective concentration of the surface-active block copolymer additive at the surface of a shaped polymer composition appeared to depend on the nature of substrate against which the shaping was carried out, for It was believed example by solvent casting or molding. that a high energy surface substrate material glass, mica, metals or metal oxides, adsorbed the copolymer additive from the base polymer surface in contact with it, resulting in loss of copolymer from the polymer surface The surface after separation from the substrate surface. effects of decreased wettability and friction provided by addition of the copolymer additive were therefore maximized by annealing the shaped article or by a solvent application to soften the surface to allow copolymer additive to pass to the surface of the article.

LeGrand et al, (1970) Am. Chem. Soc., Div. Polymer reported studies 11:442-446, Chem. Preprints bisphenol-A polycarbonate base polymer mixed with an added alternating block copolymer of bisphenol-A carbonate and measuring ethylene (PDMS), polydimethylsiloxane contact angles and static friction coefficients to assess hydrophobicity presumably related surface Contact angle on a sample concentration of the additive. having 1% additive was greater on sample molded between teflon-coated foils than on sample molded between mica sheets.

Polycarbonate is a very useful base polymer for medical devices intended for blood contact, for example blood oxygenators, heat exchangers and dialyzers used in heart surgery, transplant surgery, kidney dialysis and the Polycarbonate is advantageous for such applications for a variety of reasons, notably for purposes of the invention herein, because it can be fabricated by molding to achieve complex shapes, and because the devices made of polycarbonate are essentially transparent. The latter is an important consideration for medical personnel using the devices, permitting them to see at a glance whether blood LSL triblock copolymers flowing properly. attractive candidates for bulk additives to improve the biocompatibility of polycarbonate surfaces. A problem is encountered when a polycarbonate base polymer is molded with an LSL copolymer. At concentrations greater than about 0.2% of LSL copolymer by weight, optical clarity of is degraded or lost, the blend the blended material becoming cloudy or opaque, possibly due to phase separation 20 In consequence, the benefits of of the LSL copolymer. improved biocompatibility by the addition of copolymer are compromised by the loss of transparency when sufficient LSL is incorporated to provide adequate biocompatibility. 25

## Summary of the Invention

10

15

invention provides methods and operating The principles for controlling the concentration of LSL within 30 a 10Å layer at the surface of the base polymer. Using the method, the concentration of LSL, measured as concentration of the polysiloxane block in the first 10Å of polymer surface can be varied from about 5% by weight to about 60% where bulk composition is only 0.2% LSL. No annealing is 35

WO 97/18265

5

10

required. The surface concentration has been found to be influenced by the interfacial surface free energy of the mold surface in contact with the base polymer-LSL blend during the molding process. At bulk concentrations of LSL up to 0.2%, a polycarbonate base polymer retains its Adequate biocompatibility is found where transparency. surface concentration of PDMS is 20% (w/w) or greater. Therefore the method of the invention makes it possible to a biocompatible surface without sacrificing achieve In general, where a certain surface free transparency. energy is desired for a polymer blend having an additive with a different surface free energy than the base polymer, a wide range of additive surface concentrations can be achieved by appropriate choice of the mold surface free energy during fabrication. 15

## Brief Description of the Drawing

Figure 1 is a 3-axis graph showing the effect of mold surface free energy on PDMS surface concentration, expressed as weight per cent. Samples were unannealed.

Data from Table 1.

Figure 2 is a 3-axis bar graph showing the effect of annealing on duplicate samples to those shown in Figure 1.

10 Data from Table 1.

Figure 3 is a 3-axis bar graph showing a comparison of annealed and unannealed duplicate samples molded against teflon. Data from Table 1.

15

25

30

35

Figure 4 is a 3-axis bar graph showing a comparison of annealed and unannealed duplicate samples molded against steel. Data from Table 1.

# 20 Detailed Description of the Invention

Surface concentration of an LSL copolymer additive in by measuring can be evaluated polymer base the polysiloxane block, using X-ray of concentration photoelectron spectroscopy using an X-ray beam at a low The method of the take-off angle to the tested surface. invention can be applied with a number of rigid bulk polymers such as Tenite, a cellulose-based polymer, rigid polyolefins polymers, chloride polyvinyl Although described herein in the context polycarbonates. of a hydrophobic additive used to make the surface of a hydrophobic, relatively hydrophilic polymer more in the situation also be used process can hydrophilic additive is used to render the surface of a hydrophobic base polymer more hydrophilic.

31110800

10

30

The base polymer and block copolymer additive can be blended or combined by any technique recognized in the art. During the molding process, the base-additive mixture should be melted to a temperature sufficiently high to allow complete mixing and diffusion of mixture components, rather than mere surface fusion of polymer pellets. For polycarbonate mixtures, 400°F-600°F. is suitable for use in the invention, with temperatures in the upper half of the range preferred. It will be understood that normal precautions should be taken to avoid thermal decomposition, oxidation or other degradative processes.

transparency of retain the normal to order In LSL the bulk concentration of polycarbonate, 15 should not exceed about 0.2% of LSL copolymer, by weight. The exact limit can vary slightly, depending on molecular A preferred weight and block length of each block. copolymer. LSL about 0.1%(W/W) of concentration is Suitable additive LSL copolymers include the Thoratec "SMA" 20 polymers having caprolactone blocks ranging from about 1000 to about 10,000 nominal molecular weight, and siloxane blocks ranging from about 1000 to about 5000 nominal Preferred additives have caprolactone molecular weight. blocks of about 2000 nominal M.W. and siloxane blocks of 25 about 3000-5000 nominal M.W., e.g SMA-423 and SMA-425.

Optimal operation of the invention depends upon intimate contact between the surfaces of the mold and of the polymer being molded. Mold surfaces should be made as smooth as practical. Cleanliness is important, to avoid contaminating mold surfaces with materials that could affect the surface free energy of the mold. Injection molding and compression molding are the preferred methods

WO 97/18265 PCT/US96/18272

for forming articles made of LSL-blended polymers because pressure tends to maximize the surface area of contact between polymer and mold surfaces.

Experiments were conducted using a polycarbonate base 5 polymer mixed with 0.2%(w/w) LSL copolymer (either SMA-425 or SMA-423) compression molded against either teflon (a low surface free energy surface) or against stainless steel (a free energy material. The surface high concentration of the LSL additive, expressed as per cent by 10 weight of the polydimethylsiloxane (PDMS) block) in the 10Å layer at the surface of the molded article was measured by X-ray photoelectron spectroscopy (XPS) (Briggs, Seah, M.P., (1983) Practical Surface Analysis, Chapter 4, The polycaprolactone blocks are John Wiley & Sons). 15 considered to be buried in the base polymer to a depth greater than 10A, so that the surface concentrations determined by XPS reflect the surface concentration of PDMS but not of the entire triblock copolymer. Various melt temperatures were tested. Duplicate samples were annealed 20 measuring 135°F. before at subsequently are shown graphically in The results concentration. figures 1-4, which are three-dimensional graphs of the surface concentration of additive copolymer (labeled as polydimethylsiloxane (PDMS) concentration, weight percent) 25 as a function of melt temperature and time (mold surface contact time at the stated temperature). In order to compare PDMS concentrations obtained by XPS analysis and bulk concentrations of SMA-423 or SMA-425, the following relationship was employed. For SMA 423, the weight percent 30 of the PDMS block is 41.23%; for SMA 425, the weight percent of the PDMS block if 51.80%. Therefore a bulk concentration of 0.2% SMA 423 is equivalent to 0.082% PDMS and 0.2% SMA 425 is equivalent to 0.10% PDMS. Unannealed surface displayed low against steel moldedsamples 35

10

15

20

25

30

35

concentrations of additive, ranging from 7%-20%(w/w) of Unannealed samples molded against teflon displayed strikingly higher surface concentrations of ranging from 45%-59% w/w) of PDMS. Annealed samples molded against steel displayed significantly increased surface 5 concentrations of additive, 24%-47%(w/w) of PDMS compared to unannealed steel-molded samples. In contrast, annealed samples molded against teflon displayed little, if any, change compared to unannealed, teflon-molded samples, 56%in additive massive increase PDMS. A of concentration, as measured by an approximately 600-fold increase in PDMS concentration at the polymer surface over bulk concentration was achieved by molding against teflon, without resort to annealing. By proper selection of the mold material, surface concentration was controlled over a 3-4 fold range, again without resort to annealing. higher surface concentrations and concentration ranges can molding conditions, optimization of achieved by surface treatment, time, temperature, molá including contact-enhancing additives, micro-enlargement of contact surface area and the like.

In general, surface concentration of a low surface free energy additive blended into a higher surface free energy base polymer can be increased by molding the blend against a surface having low surface free energy, decreased by molding against a surface having high surface Application of the foregoing principle of the free energy. invention has the potential for achieving subtle variations of surface properties of a polymer blend. Such variations can be effected within area domains on the same surface. Since annealing is unnecessary, fine structural features can be incorporated on the polymer surface without being It is also evident to degraded by subsequent annealing. those skilled in the art that the principles of the

WO 97/18265 PCT/US96/18272

invention apply in reverse. For example a low surface free energy base polymer can be surface-modified by blending a higher surface free energy additive therewith and molding the blend in contact with a high surface free energy mold to maximize surface concentration of the additive and increase the surface free energy of the blend.

EXAMPLE 1 - Samples of polycarbonate 2508 [Bayer] were blended with SMA-423 (samples 1-8) or SMA-425 (samples 9-16) to a bulk concentration of 0.2%(w/w) by using a 10 compounding extruder. Samples were heated to the indicated temperature, then pressed between sheets of the indicated material for the indicated time to form small tiles of Surface concentration of additive was molded polymer. photoelectron X-ray angle (10°) low by measured 15 The analytical results were expressed as spectroscopy. weight percent PDMS, as described, supra. The results are tabulated below and represented graphically in figs. 1-4.

et al., <u>supra</u>, and in U.S. 3,686,355 that high energy surfaces such as glass or mica would effectively strip off a surface layer of block copolymer from the base polymer, the following experiment was conducted.

25

30

Two films each of unmodified 2508 polycarbonate (control samples) and the same resin modified by blending 0.2% SMA 423 (test samples) were cast on microscope slides from methylene chloride solutions. The contact angles (CAs) of the air side, the glass side and the glass surface after stripping were then measured. The CA of clean glass slides was also measured. The results are summarized in the following table.

0 7 11 10 11 50

#### CONTACT ANGLES OF CAST FILMS

	2508 Control 1	2508 Control 2	2508 + 0.2% SMA 423-1	2508 + 0.2% SMA 423-2
Air side	81.3°±0.8	83.6°±1.5	96.4°±0.9	95.1°±1.5
Glass Side	74.1°±1.4	76.3°±1.3	77.1°±2.4	81.5°±1.3
Stripped Glass	14.8°±1.7	16.5°±1.9	29.1°±1.8	36.0°±1.1

Bare Glass - before coating 13.0°±1.2

#### 5 Observations:

 Comparing air side control and test samples it was seen that test samples had a much higher contact angle (82° vs. 96°) indicating a high surface concentration of PDMS.

10

2. A similar difference was not seen between glass side control and test samples (75° vs. 79°) indicating that there was not a high concentration of PDMS on the glass side of the test samples.

15

20

25

3. There was little or no difference in the contact angles of bare uncoated glass and the stripped glass surfaces of the control samples (13° vs. 16°). There was a small but significant difference between bare uncoated glass and the stripped glass surfaces of test samples (13° vs. 33°). If the contact angle of the glass side of the test samples (79°) were the result of a low energy (high contact angle) surface being transferred to the glass, one would expect intuitively that the stripped glass surface would show a contact angle closer to that of the air surface test samples. In fact, whatever the nature of the contaminant on

WO 97/18265 PCT/US96/18272

the stripped glass surface, it appeared to be only partially covering the surface. Assuming that the high contact angle component of the SMA had been partially transferred to the test sample glass surface, it is then possible to estimate the surface fraction so covered by employing the so-called "cosine model" (see A.W. Adamson, Physical Chemistry of Surfaces, 3rd Edition: John Wiley; page 346).

$$\cos\theta_c = f_1 \cos\theta_1 + f_2 \cos\theta_2$$

10 where

15

20

30

 $\theta_c$  = CA of the composite surface

 $\theta_1$  = CA component 1

 $\theta_2$  = CA component 2

f<sub>1</sub> = Surface fraction of component 1

 $f_2$  = Surface fraction of component 2

In the present example

 $\theta_c$  = CA stripped glass = 32.6°

 $\theta_1$  = CA SMA surface = 95.8°

 $\theta_2$  = CA of bare glass = 13.0

 $f_1$  = Surface fraction of SMA

f<sub>2</sub> = Surface fraction of glass

Making appropriate substitutions

$$0.8425 = (1-f_2)(-0.1011)+f_20.9744$$

$$f_2 = 0.8774$$

Thus, the stripped glass surface of the test sample is 88% glass and only 12% polymer.

The analysis can be taken one step further. Assuming

WO 97/18265 PCT/US96/18272

ppms enriched as the air side and that the lower CA measured on the glass side of the test sample is the result of some of the enriched SMA being transferred from the surface during demolding, then, knowing the surface fraction of the material transferred from the glass side it is possible to estimate the expected CA of the glass side surface of the test sample.

10 In this case

15

20

 $\theta_c$  = Expected CA of the glass side surface

 $\theta_1$  = CA of unenriched glass side sample, i.e., glass side CA of control, 75.0°

 $\theta_2$  = CA of SMA enriched surface, i.e., air side CA of test sample 95.8°

 $f_1$  = surface fraction transferred material, 0.12

 $f_2$  = surface fraction of material not transferred, 0.88

 $\cos\theta_c = 0.12 \text{ Cos } 75.0^\circ + 0.88 \text{ Cos } 95.8^\circ$ 

 $\theta_{c} = 93.5^{\circ}$ 

This is the expected CA of the glass side test sample if the stripping mechanism were correct.

significantly less (79°), it is unlikely that the difference in CAs between the air side and the glass side of the film resulted from a mechanism of stripping material from the glass side surface as suggested by LeGrand and Gaines. To the contrary, the evidence supports the conclusion that the observed differences result from differences in the free energy of the surfaces against which each side of the film is molded. This result is surprising because it suggests

WO 97/18230 PCT/US96/18572

that surface concentration of the additive may be controlled, whereas prior art suggests that the additive is either present on the surface or removed. The present invention allows the bulk concentration of the additive to be predetermined, as well as its surface concentration, by preselecting the surface against which the polymer and additive are molded.

Table 1

Surface Concentration of PDMS Percent by Weight

Melt Temperature	600°F	550°F	550°F	400°F
Mold Time	3 min	3 min	1 min	3 min
Teflon - not annealed	58	45	59	49
Teflon - annealed	61	55	56	62
Steel - not annealed	20	7	14	
Steel - annealed	24	47	37	

10 7/110203

#### CLAIMS

Method of controlling surface concentration of an LSL 1. polymer base additive in а copolymer comprising molding the article in a mold composed of a material having a given surface free energy whereby the mold surface free energy of the the lower material, the greater the surface concentration of LSL in the resulting molded article.

10

- Method of claim 1 wherein the base polymer is polycarbonate and the bulk concentration of LSL copolymer additive is less than 0.2 percent by weight.
- Method of making a polymeric article, said article 15 3. including polymer mix composed а of polycarbonate base polymer containing a maximum of 0.2% by weight bulk concentration of an LSL copolymer additive, said bulk concentration corresponding to 0.08% to 0.1% by weight of polydimethylsiloxane in the 20 LSL copolymer, and having at least 20% by weight surface concentration of the PDMS component of said additive, comprising the step of melt-molding said polymer mix in a mold having a low surface free energy mold surface. 25
  - 4. Method of claim 3 wherein the mold surface is teflon.
- biocompatibility the enhancing of Method 5. article without degrading polycarbonate polymeric 30 transparency thereof comprising mixing up to 0.2% by mold. said in LSL copolymer weight concentration corresponding to 0.08% to 0.1% by weight of polydimethylsiloxane in the LSL copolymer at least

WO-97/18265 PCT/US96/18272

a part of the mold surface composed of a mold material having a low surface free energy whereby the lower the surface free energy of the mold material, the greater the surface concentration of the PDMS block of the copolymer in the resulting molded article whereby the surface concentration of LSL on a surface of said article molded in contact with the low surface free energy part of the mold has a concentration of at least 20% by weight of the PDMS (polydimethylsiloxane) component of the LSL copolymer in a layer within 10Å of its surface.

## 6. A molded article comprising:

5

10

- an ABA copolymer wherein A is a high surface energy monomer block and B is a low surface energy monomer block, said copolymer comprising a low free energy block having a nominal molecular weight of 1000 to 10,000 and a high free energy block having a nominal molecular weight of 1000 to 5000,
  - (b) a transparent base polymer,
- wherein said base polymer and said high surface energy monomer block are compatible, and the copolymer is concentrated at a surface of the base polymer and is present in a concentration sufficiently low to maintain the transparency of the base polymer.
  - 7. The article of claim 6, wherein the concentration of the copolymer at the base polymer surface is sufficient to confer biocompatibility.

M.O. 21116702

- 8. The article of claim 6, wherein the concentration of the copolymer in the base polymer is between about 0.05 and about 1%.
- 5 9. The article of claim 6, wherein the concentration of the copolymer in the base polymer is about 0.2%.
- 10. The article of claim 6, wherein the high free energy block has a nominal molecular weight of 2000 and the low free energy block has a nominal molecular weight of 2000-3000.
  - 11. The article of claim 6 wherein the low surface energy monomer block comprises polysiloxane.
  - 12. The article of claim 11 wherein the polysiloxane is polydimethylsiloxane.
- 20 energy monomer block is selected from the group consisting of polycaprolactone, polycarbonate, polyamide, polyimide, etc.
- 14. The article of claim 5 wherein the base polymer has a

  To greater than room temperature.
- 15. The article of claim 6 wherein the base polymer is selected from the group consisting of polycarbonates, polyethylene terephthalate glycol (PETG), polymethylmethacrylate (PMMA), etc.
  - 16. A method of molding a surface modified polymer composition comprising the steps of:

PCT/US96/18272 WO`97/18265

5

10

15

adding a ABA block copolymer wherein A is a high (a) free energy monomer block having a nominal molecular weight of 1000 to 5000, and B is a low free energy monomer block having a nominal 10,000, to 1000 molecular weight of transparent base polymer, wherein the high free energy block and the base polymer are compatible, said copolymer being added to the base polymer in a quantity sufficiently low to maintain base polymer transparency, and

- (b) molding the copolymer and base polymer using a low free energy surface to produce an article having the low free energy component of the copolymer concentrated at a surface of the article.
- 17. The method of claim 16, wherein the concentration of the low free energy component of the copolymer at the base polymer surface is sufficient to confer biocompatibility.
- 18. The method of claim 16, wherein the concentration of the copolymer in the base polymer is between about 0.5%.
  - 19. The method of claim 16, wherein the concentration of the copolymer in the base polymer is about 0.2%.
- 30 20. The method of claim 16, wherein the high free energy block has a nominal molecular weight of 2000 and the low free energy block has a nominal molecular weight of 2000-3000.

WU 9//18285



- 21. The method of claim 16, wherein the low surface energy monomer block comprises polysiloxane.
- 22. The method of claim 21, wherein the polysiloxane is polydimethylsiloxane.

5

10

25

- 23. The method of claim 16, wherein the high surface energy monomer block is selected from the group consisting of polycaprolactone, polycarbonate, polyamide, polyimide, etc.
- 24. The method of claim 16, wherein the base polymer has a Tq greater than room temperature.
- 15 25. The method of claim 16, wherein the base polymer is selected from the group consisting of polycarbonates, polyethylene terephthalate glycol (PETG), polymethylmethacrylate (PMMA), etc.
- 20 26. A method for controlling surface concentration of a copolymer additive in a base polymer, comprising:

predetermining a surface concentration range of the copolymer additive;

preselecting a mold composed of a material having a predetermined surface free energy; and

molding the article in the preselected mold 30 whereby the surface concentration of the copolymer additive is within the predetermined range.

PCT/US96/18272

6.3

27. The method of claim 26 further comprising predetermining a bulk copolymer additive concentration.

5

10

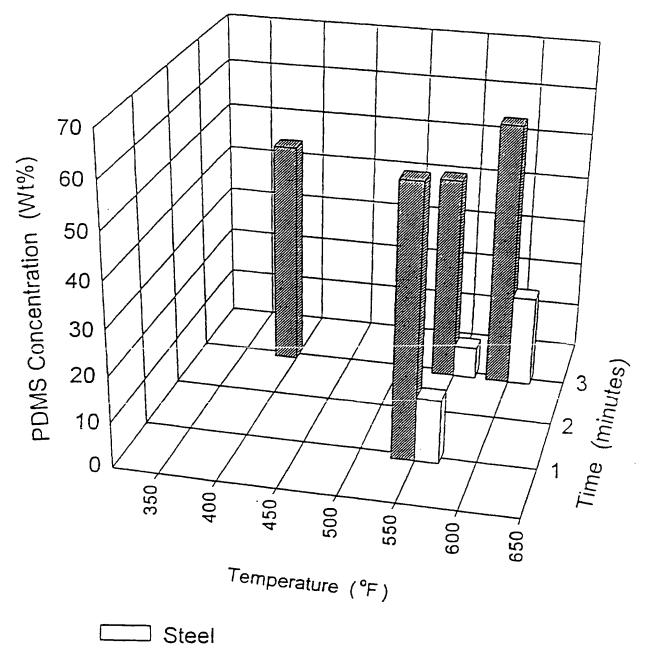
- 28. The method of claim 26, further comprising reducing the bulk copolymer additive concentration required to achieve the predetermined surface concentration range by preselecting a mold having a low surface free energy.
- 29. The method of claim 27, further comprising:

selecting a transparent base polymer; and

15

predetermining a bulk concentration of the copolymer additive that retains a normal transparency of the base copolymer.



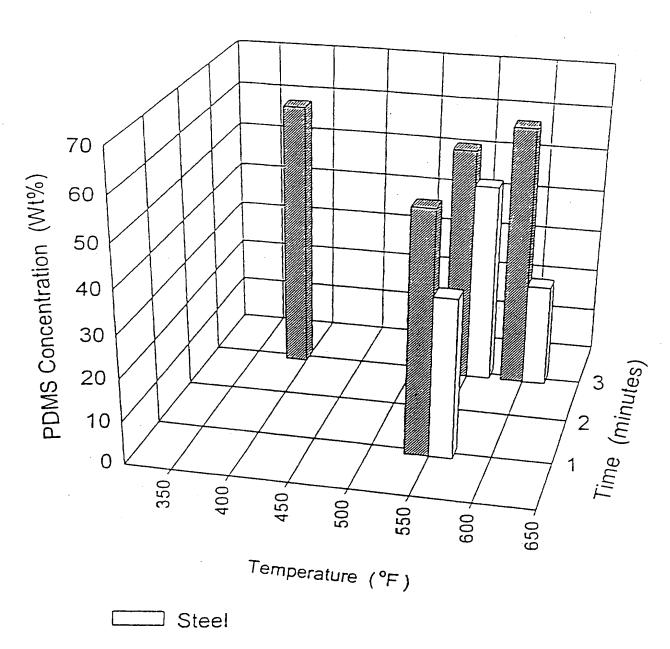


Teflon

FIGURE 1



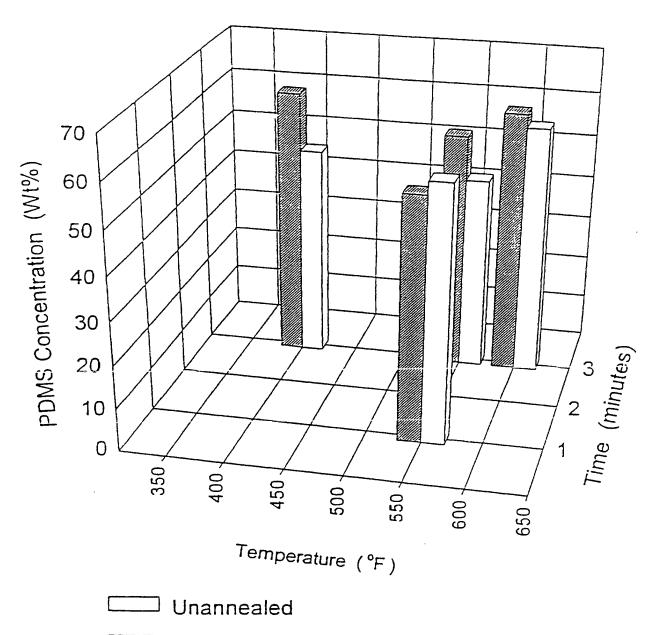
2/4



Teflon

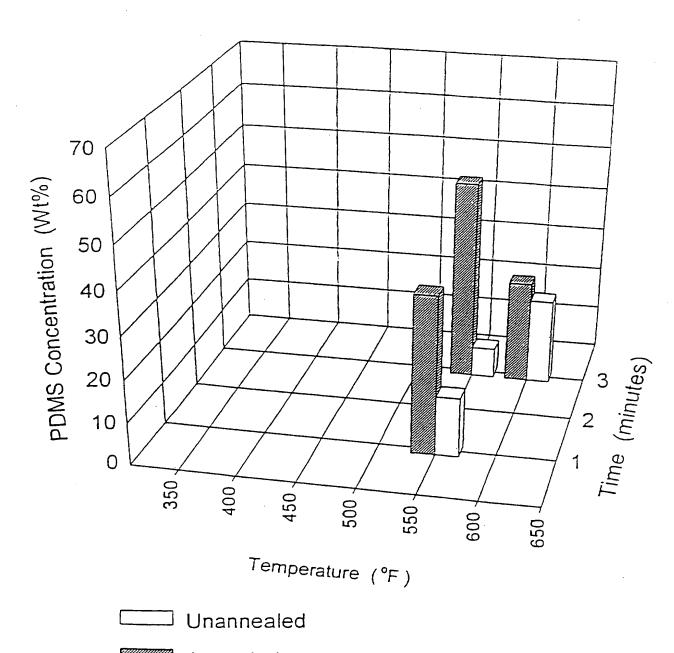
FIGURE 2





Annealed

FIGURE 3



Annealed

FIGURE 4

INTERNATIONAL STAKELL VE. O.

Inter -onal Application 140 PL:/US 96/18272

A. CLASSIFICATION OF SUBJECT MATTER
1PC 6 C08L69/00 C08L67/02 CO8L33/12 //A61L29/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 6 C08L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUM	MENTS CONSIDERED TO BE RELEVANT	Relevant to claim No.	
Category *	Citation of document, with indication, where appropriate, of the relevant passages		
Y	US 3 686 355 A (G.L. GAINES ET AL.) 22 August 1972 cited in the application see claims 1,2 see column 4, line 52-57 see column 10, line 56 - column 11, line 15 see example 8	1-29	
Y	US 4 963 595 A (R.S. WARD JR. ET AL.) 16 October 1990 cited in the application see column 2, line 57 - column 3, line 59 see column 5, line 34-42 see example 14		

Further documents are listed in the continuation of box C.	X Patent family members are listed in annex.
*Special categories of cited documents:  A* document defining the general state of the art which is not considered to be of particular relevance  E* earlier document but published on or after the international filing date  L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  O* document referring to an oral disclosure, use, exhibition or other means  P* document published prior to the international filing date but later than the priority date claimed	<ul> <li>'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>'&amp;' document member of the same patent family</li> </ul>
Date of the actual completion of the international search  6 March 1997	2 6. 03. 97
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (- 31-70) 340-2040, Tx. 31 651 epo nl, Faxe (- 31-70) 340-3016	Authorized officer  Hallemeesch, A

## INTERNATIONAL SEARCH REPORT

Intr total Application No PCI/US 96/18272

<i>(Carania)</i>	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	PCI/US 96/182/2
ategory *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
	EP 0 571 787 A (REHAU AG+CO) 1 December 1993 see claims 1,2	1-29
		·

# INTERNATIONAL SEARCH REPORT

nionnation on patent family members

true: Fonal Application No
PU i /US 96/18272

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 3686355 A	22-08-72	DE 2033608 A FR 2054298 A GB 1317178 A NL 7010048 A,B,	11-03-71 16-04-71 16-05-73 11-01-71
US 4963595 A	16-10-90	US 4663413 A US 5235003 A AU 5239386 A EP 0208734 A JP 62501848 T WO 8604072 A	05-05-87 10-08-93 29-07-86 21-01-87 23-07-87 17-07-86
EP 571787 A	01-12-93	DE 4217165 C	19-08-93



# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record.

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
FADED TEXT OR DRAWING
BLURRED OR ILLEGIBLE TEXT OR DRAWING
SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
□ other:

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)